

02 -12 - ²10072975 - 020902

Attorney Docket No.

AM-102.P.1.1-US

CD - R. C.

First Inventor or Application Identifier

Title

Oligonucleotide Analogues, Methods of Synthesis and Methods of Use

EL892180427US

APPLICATION ELEMENTS

UTILITY PATENT APPLICATION

TRANSMITTAL

(Only for new nonprovsional

applications under 37 C.F.R. §

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- 1. [X] * Fee Transmittal Form (e.g. PTO/SB/17)
 - (Submit an original and a duplicate for fee processing)
- 2. [X] Specification [Total Pages in application [212]]
- 3. [X] Drawing(s) (35 U.S.C. 113) [Total Sheets [9] included in 212 total pages of application]
- 4. Oath or Declaration

1.53(b))

- a. [] Newly executed (original or copy)
- b. [] Copy from a prior application (37 C.F.R. § 1.63(d))

(For continuation/divisional with Box 16 completed)

i. [] DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application See 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27); EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

Express Mail Label No.

- 5. [] Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)
 - a. [X] Computer Readable Copy
 - b. [X] Paper Copy (identical to computer copy)
 - c. [X] Statement verifying identity of above copies



ACCOMPANYING APPLICATION PARTS
7. [] Assignment Papers (cover sheet & documents(s))
8. [] 37 C.F.R. § 3.73(b) Statement
(when there is an assignee)
[X] Power of Attorney
9. [] English Translation Document (if applicable)
10. [] Information Disclosure Statement (IDS)/PTO-1449
[] Copies of IDS Citations
11. [X] Preliminary Amendment
12. [X] Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. [X] * Small Entity Assertions
[] Statement filed in prior application. Status still proper and desired
14. [] Certified Copy of Priority Document(s)
(If foreign priority is claimed)
15. [X] Other: Certificate of Mailing by Express Mail
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
[] Continuation [] Divisional [X] Continuation-in-part (CIP) Of prior application No: <u>09/805,296</u>
Prior application Information: Examiner Group Art Unit
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an
oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying
continuation or divisional application and is hereby incorporated by reference. The incorporation can only be
relied upon when a portion as been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE INFORMATION						
[X] Customer Number 24232 or Bar Code Label [] or [] Correspondence address below						
Name David R. Preston						
Address 12625 High Bluff Drive, Suite 205						
City, State and Zip Code	te and Zip Code San Diego, California 92130					
Country	USA					
Telephone	858.724.0375					
Facsimile						
Name Dayid R. Preston	Registration Number 38,710					
Signature Date Feld 200 L						

Corresponds to PTO/SB/05

FEE TRAN	SMITTAL	Complete if Known		
Patent fees are subject to annu These are the fees effective Oc Small Entity payments must be	tober 1, 1997.	Application Number:	To be determined	
statement, otherwise large enti	ty fees must be paid. See	Filing Date:	Herewith	
Forms PTO/SB/09-12. See 37	C.F.R. §§ 1.27 and 1.28.	First Named Inventor:	Vladimir Efimof	
		Group / Art Unit:	To be determined	
Total Amount of Payment \$ 661.00		Docket Number:	AM-102.P.1.1-US	

METHOD OF PAYMENT				
1. [] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: [] Deposit Account Name: [] [] Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 [] Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance.				
2. [X] Payment Enclosed [X] Check Number _1164 [] Money Order [] Other				

FEE CALCULATION							
I. BASIC F	ILING FEE					_	
	Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	
	101		201	370.00	Utility Filing Fee	\$ 370.00	
	106		206		Design Filing Fee	\$	
	107		207		Plant Filing Fee	\$	
	108		208		Reissue Filing Fee	\$	
	114		214		Provisional Filing Fee	\$	
				SU	JBTOTAL (1)	\$ 370.00	

Multiple dependent claim, if not paid

original patent

** Reissue independent claims over original

** Reissue claims in excess of 20 and over

				Extra Claims		Fee From Below		Fee Paid
Total Claims	[29]	-20 **	Equals	[9]	Times	[9.00]	Equals	\$ 81.00
Independen t Claims	[8]	-3 **	Equals	[5]	Times	[42.00]	Equals	\$210.00
			_			Subtota	al (2)	\$291.00
** or number	previously	paid, if grea	ter, For Reissu	ies, see belo	w			
	Large E	ntity	Small Enti	ty				
	Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Des	cription	
	103		203	9.00	Claims in	excess of 20		
	102		202	42.00	Independ	ent claims in ex	cess of 3	

204

209

210

104

109

110

Large Entity		ntity Small			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105		205		Surcharge - late filing fee or oath	\$
127		227		Surcharge - late provisional filing fee or cover sheet	\$
139		139		Non-English specification	\$
147		147		For filing a request for reexamination	\$
112		112		Requesting publication of SIR prior to Examiner action	\$
113		113		Requesting publication of SIR after Examiner action	\$
115		215		Extension for reply within first month	\$
116		216		Extension for reply within second month	\$
117		217		Extension for reply within third month	\$
118		218		Extension for reply within fourth month	\$
128		228		Extension for reply within fifth month	\$
119		219		Notice of Appeal	\$
120		220		Filing a brief in support of an appeal	\$
121		221		Request for oral hearing	\$
138		138		Petition to institute a public use proceeding	\$
140		240		Petition or revive - unavoidable	\$
141		241		Petition or revive - unintentional	\$
142		242		Utility issue fee (or reissue)	\$
143		243		Design issue fee	\$
144		244		Plant issue fee	\$
122		122		Petitions to the Commissioner	\$
123		123		Petitions related to provisional applications	\$
126		126		Submission of Information Disclosure Statement	\$
581		581		Recording each patent assignment per property	\$
146		246		Filing a submission after final rejection (37 C.F.R. 1.129(a))	\$
149		249		For each additional invention to be examined (37 CFR 1 129(b))	s
Other fee (specify):			- 10-20 - 10-2	s

Fee Transmittal
Corresponding to PTO/SB/17

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Subtotal (3)	e 0.00	
Subtotal (3)	\$ 0.00	1
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4. SUMMATION OF FEES	
SUBTOTAL (1)	\$ 370.00
SUBTOTAL (2)	\$ 291.00
SUBTOTAL (3)	\$ 0.00
TOTAL FEES	\$ 661.00

SUBMITTED BY			Complete (If Applicable)		
Typed or Printed Name	David R. Preston		Registration Number:	38,710	
Signature		Date: Fell9, 2002	Deposit Account User ID Number	501321	